Surety application questionnaire

For a company requesting a surety bond, a guarantee or a facility from FDI Insurance d.a.c. — directly or, as is usual, through its broker. The desk underwrites the applicant and its counter-indemnity, not the contract, so most of the questions are about the company: who it is, who owns and directs it, the group it belongs to and the figures it encloses. Complete every section that applies, tick the documents you are enclosing, sign the declaration and send the form to the broker desk. We acknowledge receipt the same working day and an underwriter reads a complete file within five working days.

1 The intermediary

Leave this section blank if the company is applying without a broker.

Broker or agency

Agency or broker code with us, if any

Broker email for the copy

2 The applicant — the policyholder

Legal name of the company *

Tax identification number *

Registered address *

Date of incorporation

Town or city *

Postcode

Country *

Principal activity *

Telephone

Email for the quotation and the copy of this form *

Contact person *

3 Shareholders and directors

The principal shareholders and the directors, with their holding. A counter-indemnity is ordinarily taken from the entity that holds the assets, so this is where the desk starts.

Name	Tax identification number	Shareholding, %	Activity

Name	Tax identification number	Shareholding, %	Activity

Name	Tax identification number	Shareholding, %	Activity

4 Holdings in other companies

Companies in which the applicant holds a participation, and the percentage held.

Company	Tax identification number	Shareholding, %	Activity

Company	Tax identification number	Shareholding, %	Activity

Company	Tax identification number	Shareholding, %	Activity

5 The group

If the applicant belongs to a group, the parent company. Enclose the group chart.

Parent company	Tax identification number	Activity

6 The surety line requested

The amounts requested, by kind of guarantee, in the currency of the obligation. A company that expects several guarantees in a year asks for a facility rather than a single bond.

Bid and tender bonds

Performance and contract guarantees

Renewable energy — grid access, auctions, permits

Customs and excise guarantees

Other guarantees

Specify

Total facility requested *

Currency *

Beneficiary of the first guarantee, if known

Observations on the surety requested

The contract or procedure, the wording the beneficiary prescribes, dates, and anything about the obligation the desk should know.

7 Documents enclosed

Tick the documents you are enclosing. The first two are needed for every application; the rest depend on the activity.

Enclosed with this application

- ☐ Official or audited annual accounts for the last two financial years — individual and, for a group, consolidated
- ☐ Internal accounts for the last closed year, and the quarterly or half-year results of the current year
- ☐ Order book: contracts in progress and awarded, and a history of the activity
- ☐ Land bank, developments in progress and completed, and the mortgage pool — for a real estate activity
- ☐ Text of the customs guarantees requested and the last annual return of operations with third parties — for customs guarantees
- ☐ Energy activity dossier and the administrative status of each installation — for grid access and connection requests

> Send the documents with the form, or by reply to the acknowledgement. Bank details are never collected on this form: if a counter-indemnity or collateral is agreed, the arrangements are made separately and by secure means.

Declaration

The applicant declares that what is set out in this application is true, that no material fact has been misstated, misrepresented or withheld, and agrees that this application, together with the documents enclosed, forms the basis of any contract of insurance made between FDI Insurance d.a.c. and the applicant. The applicant undertakes to inform the insurer of any change in the facts stated before the contract is concluded and during the life of the policy. The signatory confirms that they are authorised to sign for the applicant and that they have been informed in writing of the law applicable to the contract, of the Member State and the supervisory authority responsible for the insurer, of the complaints procedures available, internal and external, and of the insurer's name, legal form and registered office.

HOW THE INFORMATION IS USED

Controller: FDI Insurance d.a.c., registered office in Dublin, Ireland. Purpose: to study and quote the surety requested, through your broker where there is one. Legal basis: the steps taken at your request before a contract is concluded, and our legitimate interest in underwriting the risk. Recipients: the intermediary named in section 1, our associated agency where the business is placed in Spain, and public authorities where the law requires. Retention: for the life of the quotation and, if a policy is issued, for the life of the policy and the period our regulatory obligations then require. Rights: access, rectification, erasure, objection, restriction and portability, by writing to the contact address on this form; a complaint may be made to the Data Protection Commission in Ireland or to the supervisory authority of your own country.

Signed for and on behalf of the applicant, by an authorised signatory

Name in block capitals	Signature	Place and date

The intermediary

Name in block capitals	Signature	Place and date

Sending the form

Press the button below to send the completed questionnaire to the broker desk, or save it and attach it to an email yourself with the documents ticked in section 7. The desk acknowledges receipt the same working day.

Email the completed form

or attach it yourself to
broker.desk@fdi-insurance.eu